

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent application  
of James B. Gillen and Sherry S. Gillen  
Inventor(s)  
for Protective Body Vest  
Title of invention

the specification of which is being transmitted herewith

OR

In re application of:

Application No.: 0 / Group No.:  
Filed: Examiner:  
For:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
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*Express Mail certification is optional.*)

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37 C.F.R. § 1.8(a)

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Signature

Charles F. Meroni, Jr.

Charles F. Meroni, Jr.

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**NOTE:** "An information disclosure statement shall be considered by the Office if filed by the applicant within any one of the following time periods:

(1) Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);

(2) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application;

(3) Before the mailing date of a first Office action on the merits; or

(4) Before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114."

37 C.F.R. § 1.97(b).

**NOTE:** "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. § 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) each inventor named in the application;

(2) each attorney or agent who prepares or prosecutes the application; and

(3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(c).

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**WARNING:** "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(d).

### **List of Sections Forming Part of This Information Disclosure Statement**

The following sections are being submitted for this Information Disclosure Statement:

*(check sections forming a part of this statement: discard unused sections and number pages consecutively)*

1.  Preliminary Statements
2.  FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)
3.  Statement as to Information Not Found in Patents or Publications
4.  Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5.  Cumulative Patents or Publications
6.  Copies of Listed Information Items Accompanying This Statement
7.  Concise Explanation of Non-English Language Listed Information Items
  - 7A.  EPO Search Report
  - 7B.  English Language Version of EPO Search Report
8.  Translation(s) of Non-English Language Documents
9.  Concise Explanation of English Language Listed Information Items (Optional)
10.  Identification of Person(s) Making This Information Disclosure Statement

*(complete the following, if appropriate)*

Sections 2 and 10 , respectively, have been continued on ADDED PAGE(S).

**NOTE:** "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

Please type a plus sign (+) inside this box →

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	
		Filing Date	
		First Named Inventor	Gillen
		Group Art Unit	
		Examiner Name	
Sheet	3	of	5
		Attorney Docket Number	03124

U.S. PATENT DOCUMENTS

**FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered
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**EXAMINER:** Initial Y reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation Y not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Grids of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WPO Standard ST.1). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WPO Standard ST. 16. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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**Section 10. Identification of Person(s) Making This Information Disclosure Statement**

The person making this statement is

(check each applicable item)

- (a)  the inventor(s) who signs below

  
\_\_\_\_\_  
SIGNATURE OF INVENTOR

James B. Gillen

(type name of inventor who is signing)

- (b)  an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c))

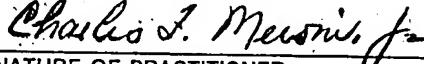
  
\_\_\_\_\_  
SIGNATURE OF INVENTOR

(type name of inventor who is signing)

- (c)  the practitioner who signs below on the basis of the information:

(check each applicable item)

- supplied by the inventor(s).  
 supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. § 1.56(c))  
 in the practitioner's file.

  
\_\_\_\_\_  
SIGNATURE OF PRACTITIONER

Charles F. Meroni, Jr.  
(type or print name of practitioner)

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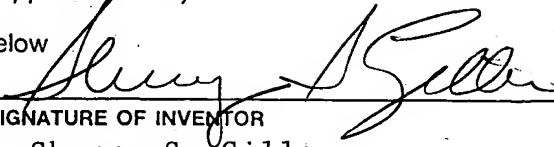
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**S e c t i o n 10. I d e n t i f i c a t i o n o f P e r s o n(s) M a k i n g T h i s I n f o r m a t i o n  
D i s c l o s u r e S t a t e m e n t**

The person making this statement is

(check each applicable item)

- (a)  the inventor(s) who signs below

  
SIGNATURE OF INVENTOR

Sherry S. Gillen.

(type name of inventor who is signing)

- (b)  an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c))

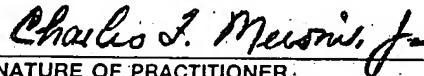
SIGNATURE OF INVENTOR

(type name of inventor who is signing)

- (c)  the practitioner who signs below on the basis of the information:

(check each applicable item)

- supplied by the inventor(s).  
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 in the practitioner's file.

  
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